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C. Willis
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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jack A. Mandelman, et al.

Docket: FIS920010258US1(14899)

Serial No.: Unassigned

Dated: October 4, 2001

Filed: Herewith

For: VERTICAL DRAM PUNCHTHROUGH STOP
SELF-ALIGNED TO STORAGE TRENCH

J1040 U.S. PTO
10/016605
10/30/01

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, applicants submit the following references which applicants believe may be material to the above-identified patent application. A copy of the references which applicants wish to make of record in this case is enclosed herein for the Examiner's convenience along with a listing on Form PTO-1449 attached.

1. U.S. Patent No. 6,025,224, issued February 15, 2000 to Gall, et al.; and
2. U.S. Patent No. 6,051,468, issued April 18, 2000 to Hsieh.

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Dated: 10/30/01

Karen Eng-Mars



All the references listed on Form PTO-1449 are in the English language, thus, a concise explanation of those references required by 37 C.F.R. §1.98(a)(3) is not necessary.

This Information Disclosure Statement is being submitted with the filing of this application; thus no fee or certification under 37 C.F.R. §1.97(e) is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Leslie S. Szivos".

Leslie S. Szivos
Registration No. 39,394

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

LSS:gc